

UK Pavement Management System



UKPMS Technical Note 36 (2007/08)

Production of the SCANNER-Based Best Value Performance Indicator Report for BV223 - Condition of Principal Roads

September 2007



Document Information

Title (Sub Title)	UKPMS Technical Note 36 (2007/08) Production of the Best Value Performance Indicator Report for BV223 - Condition of Principal Roads
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Description	Specification for the 2007/08 Version of BV223 – Condition of Principal Roads.

Document History

Version No	Status	Author	Date	Changes from Previous Version
01.01	Draft	RAC	26/06/2007	1 st draft for internal review
01.02	Revised	RAC	26/06/2007	Rural/Urban network breakdown added
01.03	Revised	RAC	29/06/2007	Incorporating feedback from internal review
01.04	Issued	RAC	25/07/2007	Revisions to Part 2
01.05	Updated	RAC	04/09/2007	Updated to reflect the decision to process as Rural any roads defined as neither Urban nor Rural.

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Introduction

DfT Performance Indicators for Best Value include BV223 to measure the Condition of Principal Roads.

This technical document should be read in conjunction with the DfT advice document *Preliminary Guidance for BV223 and BV224a Surveys in 2007/08*¹. The DfT document provides the BVPI guidance oriented towards local authorities, while this Technical Note is oriented towards developers/suppliers of UKPMS accredited systems.

This Technical Note provides guidance on the production of BV223 and consists of two parts:

Part 1 provides more detailed guidance on the calculation of BV223 and is aimed primarily at UKPMS system suppliers.

Part 2 summarises the requirements for all UKPMS-based Best Value Performance Indicators for 2007/08.

¹ <http://www.dft.gov.uk/pgr/roads/network/local/servicelevels/bv223bv224a>



Part 1 - Calculation of the Indicator

Processing of the outputs from SCANNER to produce BV223 results will be undertaken using a UKPMS pavement management system accredited to produce BV223 for 2007/08, using Version RP8.01* of the UKPMS Rules and Parameters and version WS223v02nn* of the Weighting Set.

The data from SCANNER surveys is supplied to UKPMS in HMDIF format as given in the *SCANNER HMDIF Specification* (available from the UKPMS website). This document also provides a full list of the current UKPMS defects collected by SCANNER surveys.

The Best Value Performance Indicator is based on the SCANNER Road Condition Indicator which calculates overall 'points' for each 10m sub-section based on a combination of the following UKPMS defects:

- Nearside Texture Depth
- Nearside Rut Depth
- Offside Rut Depth
- 3m Longitudinal Profile Variance
- 10m Longitudinal Profile Variance
- Whole Carriageway Cracking Intensity

10m sub-sections are characterised according to their overall points score as:

Red	Plan maintenance soon
Amber	Plan investigation soon
Green	Generally good condition

BVPI 223 is calculated as the length of the network with a combined point score exceeding the "Red" threshold divided by the surveyed length (i.e. the length of the network where data has actually been recorded) expressed as a percentage.

The following information should also be provided to support the BVPI:

UKPMS System & Version	
Version of Rules & Parameters Used	
Version of Weighting Set Used	
Copy of Valid SCANNER Accreditation Certificate	
Reasons for reduced survey coverage (if applicable)	
Other Comments	

* - UKPMS Rules and Parameters RP8.01 is the formal release for the calculation of BVPIs and undertaking of the Annual Health Check during 2007/2008. RP8.01 is released to Developers by 30th September 2007 for implementation by 31st December 2007. The Weighting Set works in conjunction with the Rule Set and contains additional information required for the SCANNER Road Condition Indicator, which is used for BV223. For BV223 the Weighting Set is WS223v02nn (the UKPMS website will provide guidance on the latest nn to be used and make the file available for downloading).



Technical Detail not Included Above

Introduction

This note is intended for both System Developers and Users, and it should be borne in mind that some parts may not apply to all readers. A schedule showing the required content for reporting of BV223 (the “PI Report”) is attached. This standard report has been accepted by DfT if produced as output from a system that has successfully undergone Tranche 3 UKPMS testing and subsequent Annual Health Check testing of BV223 for 2007/08, and that has been configured to use version 8.01 of the UKPMS Rules and Parameters (updated as per the development schedule) and the latest version of the Revised Weighting Set WS223v02 (which is updated and published via the UKPMS website).

The BVPI Report

The BV223 report is produced by the SCANNER Road Condition Indicator for defect lengths as part of a UKPMS automatic pass. A subsection will trip the ‘red’ threshold (which is the only threshold reported by BV223) if the sum of the values of the contributing defects is ≥ 100 . BV223 is therefore the percentage length where the SCANNER Road Condition Indicator has a value of ≥ 100 .

Tables detailing thresholds and weightings for defects and their contribution to the overall Road Condition Indicator are published separately by the DfT.

For 2007/08 authorities are required to calculate BV223 using the Revised Weighting Set (WS223v02 nm) whereas in previous years the Original Weighting Set (WS223v01 nm) was used. However, the Original Weighting Set is still available and can be used to calculate a comparison figure to provide continuity with results from 2006/07 and earlier. Continuity can also be established by processing data for previous years using the Revised Weighting Set.

Note that the weighting factors used for BV223 apply different weightings to urban roads and rural roads. It is important to ensure that all roads surveyed by SCANNER for BV223 have been accurately designated as either Urban or Rural. Any section not defined as Urban or Rural will be processed using the weightings for rural roads and this may lead to an inaccurate BVPI figure.

Confidence Limits

The BV223 report can provide 95% confidence limits on the BVPI value, if required. The following three values are required in order to calculate the 95% confidence limits:

- Maximum bias
- Minimum bias
- Random error

Once available these three values will be published by the DfT and entered interactively. If the values are not available then the report will produce ‘N/A’ for the confidence limits.



Content of the Report

Notes:

1. Other than that the report should be presented in the three parts given below, the following is not intended to give guidance on the layout or format of the report merely to show what content should be included and how that content should be derived.
2. If the data is not provided on coincident subsections then the SCANNER RCI is not valid and it is not possible to produce the BVPI.

Part 1 – Run Details & Data Selected

This part of the report contains the details and identifiers for the run.

Ref	Description	Example
1.1	Authority	Oldshire CC
1.2	UKPMS System	Bloggs PMS
1.3	UKPMS System Version	2.45
1.4	Run Identifier	ABC01
1.5	Run Date	05/04/2008
1.6	Weighting Set Identifier	WS223v0201
1.7	Rule Set Identifier	RP8.01
1.8	From Date	01/04/2006
1.9	To Date	31/03/2008
1.10	Combination method	Sum
1.11	Threshold type	Bin

Note that for those Developers who choose to implement the RCI as a type of Automatic Pass, the Run Identifier is simply the Automatic Pass identifier.

This is then followed by the criteria used to select the sections and surveys. Generally, for BV223, this will simply be to select all sections with a DfT Classification Code of 3. However, it may be necessary for an authority to specify particular surveys for example if multiple SCANNER surveys have been carried out within the date range for BV223 and not all of these were intended for BV223. The report must give the type of criteria (e.g. survey number, or a specified section attribute) and the values included for that attribute.

Ref	Description	Example
1.12	Criteria Type	DfT Classification Code
1.13	Criteria Value	3

Note that currently the Road Condition Indicator algorithm and weighting sets only apply to SCANNER data. If, in the future, they are extended to other survey types then there will be a requirement to select the 'TTS' survey type for BV223.

The values used to derive the confidence limits are given next. If these have not been entered then 'N/A' should be displayed instead.



Ref	Symbol	Description	Example
1.14	ϵ_{\min}	Minimum bias (m)	-0.0834
1.15	ϵ_{\max}	Maximum bias (m)	0.1043
1.16	s	Random error (m)	6.2311

Part 2 – Surveyed Network

This part of the report gives the possible survey lane length together with the length which has actually been surveyed.

Ref	Symbol	Description	Example
2.1		Selected network sections	91
2.2		Selected network length	75.838
2.3		Possible survey lane length	127.113
2.4		Actual survey lane length	120.030
2.5		Percentage of selected network surveyed in survey period	94.4%
2.6	N	Number of subsections surveyed	12082

The selected network sections figure is the number of sections in the selected network (i.e. with DfT classification 3).

The selected network length is the sum of the *Section Length Number* for the selected network.

The possible survey lane length is calculated as:

$\Sigma(\text{Section Length Number multiplied by Nearside Multiplier for the section Road Type}),$
for the selected network.

The actual survey lane length is the sum of all subsection lengths with eligible data. (The definition of ‘eligible’ here is that the data satisfies the date criteria, plus any survey and section criteria).

The percentage (Ref 2.5) is calculated as actual survey lane length (Ref 2.4) divided by possible survey lane length (Ref 2.3) expressed as a percentage.

The number of subsections surveyed (Ref 2.6) is the number of subsections with eligible data.

The selected network length, possible survey lane length and actual survey lane length are given in km to 3dp, and the percentage is expressed to 1dp.

In addition to providing the above statistics a breakdown of the network on the basis of Rural/Urban/Undefined is also required.



<i>Ref</i>	<i>Description</i>	<i>Example</i>
2.7	Rural surveyed network	82.321
2.8	Urban surveyed network	36.674
2.9	Undefined surveyed network	1.035
2.10	Total surveyed network percentage	158.3%

The rural surveyed network is the sum of all rural subsection lengths with eligible data; similarly the urban surveyed network is the sum of the urban subsection lengths with eligible data. The undefined network length is the sum of all those subsections with eligible data but which are neither urban nor rural. Together the rural, urban and undefined figures should add to give the actual survey lane length (Ref 2.4).

The total surveyed network percentage is the actual survey lane length (Ref 2.4) expressed as a percentage of the selected network length (Ref 2.2). As A roads are surveyed in both directions this figure is normally greater than 100%.

The rural, urban and undefined surveyed networks are given in km to 3dp, and the percentage is expressed to 1dp.

Part 3 – BVPI Results

This part of the report contains the BV223 result.

Since the BV223 weighting set uses a Bin type threshold, the length and percentage in each bin is given.

<i>Ref</i>	<i>Description</i>	<i>Example</i>
3.1	Bin description	Red
3.2	Bin threshold	≥ 100
3.3	Length (km) in bin	33.020
3.4	Percentage in bin	27.5%

Note that the sum of the length in all bins should total to give the actual survey lane length (Ref 2.4), and the sum of the percentages should be 100% (subject to rounding errors).

The length in the bin is required in km to 3dp, and the percentage in the bin is expressed to 1dp.

The final figures in the report give the BV223 figure and the confidence limits.

<i>Ref</i>	<i>Description</i>	<i>Example</i>
3.5	BV223	28%
3.6	Lower 95% confidence limit	25.6%
3.7	Upper 95% confidence limit	29.7%

BV223 is the percentage in the Red bin, expressed to the nearest whole number.



The lower 95% confidence limit (Ref 3.6) is calculated using the minimum bias (Ref 1.14), the random error (Ref 1.16) and the number of subsections surveyed (Ref 2.6). The minimum length in the Red bin is the length in bin (Ref 3.3) plus:

$$\frac{N}{1000} \left(\varepsilon_{\min} - \frac{1.96s}{\sqrt{N}} \right) \quad \text{Note that } \varepsilon_{\min} \text{ is usually a negative number.}$$

This is used to give the lower 95% confidence limit for BV223 by dividing by the actual survey lane length (Ref 2.4) and expressing as a percentage.

The upper 95% confidence limit (Ref 3.7) is calculated using the maximum bias (Ref 1.15), the random error (Ref 1.16) and the number of subsections surveyed (Ref 2.6). The maximum length in the Red bin is the length in bin (Ref 3.3) plus:

$$\frac{N}{1000} \left(\varepsilon_{\max} + \frac{1.96s}{\sqrt{N}} \right)$$

This is used to give the upper 95% confidence limit for BV223 by dividing by the actual survey lane length (Ref 2.4) and expressing as a percentage.

The upper and lower confidence limits should be expressed to 1dp, and if no confidence statistics have been entered then they should be given as 'N/A'.



Example of BV223 Report

Run Details & Data Selected

Authority: Oldshire CC
 UKPMS: Bloggs PMS v2.45
 Run Identifier: ABC01
 Run Date: 05/04/2008
 Weighting Set ID: WS223v0201
 Rule Set ID: RP8.01
 Dates: From 01/04/2006 to 31/03/2008
 Combination method: Sum
 Threshold type: Bin

Criteria:

DfT classification 3

Minimum bias: -0.0834 m
 Maximum bias: 0.1043 m
 Random error: 6.2311 m

Surveyed Network

Selected network sections: 91
 Selected network length: 75.838 km
 Possible survey lane length: 127.113 km
 Actual survey lane length: 120.030 km 94.4%
 Number of subsections: 12082

 Rural surveyed network: 82.321 km
 Urban surveyed network: 36.674 km
 Undefined surveyed network: 1.035 km
 Total surveyed network: 120.030 km 158.3%

BVPI results

Green (<40) 44.669 km 37.2%
 Amber (>=40) 42.341 km 35.3%
 Red (>=100) 33.020 km 27.5%

BV223 28%

Lower 95% confidence limit: 25.6%
 Upper 95% confidence limit: 29.7%



Part 2 UKPMS - Based BVPI Summary for 2007/2008

Carriageways

BVPI:	BVPI 223 (SCANNER)	BVPI 224(a) (SCANNER)	BVPI 224(b) (Visual)
Road Class:	Principal	Non-principal classified	Unclassified
DfT class:	3	4 & 5	6
Amount:	100% both directions (Minimum 90%)	DfT class 4: 100% both directions (Minimum 90%) DfT class 5: 100% one direction (Minimum 80%)	Minimum 90% with at least 25% collected since 1 Apr 07
Dates Surveys Carried Out:	2 years 1 Apr 06 to 31 Mar 08	2 years 1 Apr 06 to 31 Mar 08	4 years 1 Apr 04 to 31 Mar 08
Survey type:	SCANNER	SCANNER	CVI or CVI-equivalent (DVI)
CI Thresholds (any or all of):	N/A	N/A	Structural CI \geq 85 Wearing course CI \geq 60 Edge CI \geq 50
Merge Method:	N/A	N/A	Standard Merge Method 3 (Variable Intervals)
CI Merge Tolerance:	N/A	N/A	12
% Merge Tolerance:	N/A	N/A	10
Rule Set:	RP8.01*	RP8.01*	RP8.01*
Weighting Set:	WS223v02nn	WS224av02nn	N/A

* - UKPMS Rules and Parameters RP8.01 is the formal release for the calculation of BVPIs and undertaking of the Annual Health Check during 2007/2008. RP8.01 is released to Developers by 30th September 2007 for implementation by 31st December 2007.

The Weighting Set works in conjunction with the Rule Set and contains additional information required for the SCANNER Road Condition Indicator, which is used for BV223. For BV223 the Weighting Set is WS223v02nn (the UKPMS website will provide guidance on the latest nn to be used and make the file available for downloading).



Footways

<i>BVPI:</i>	BVPI 187
<i>Hierarchy:</i>	1a, 1 and 2 Footways
<i>Amount:</i>	At least 50% collected since 1 Apr 07 Entire network since 1 Apr 06 (minimum 90%)
<i>Dates:</i>	1 year 1 Apr 07 to 31 Mar 08
<i>Survey type:</i>	DVI
<i>CI Threshold:</i>	Overall CI ≥ 20
<i>Merge Method:</i>	Standard Merge Method 3 (Variable Intervals)
<i>Merge Tolerances:</i>	12 (Condition Index) 10 (Percentage)
<i>Rule Set:</i>	RP8.01*

* - UKPMS Rules and Parameters RP8.01 is the formal release for the calculation of BVPIs and undertaking of the Annual Health Check during 2007/2008. RP8.01 is released to Developers by 30th September 2007 for implementation by 31st December 2007.